Monthly Statements of Liquid Capital with the Commission and the Securities Exchange INVESTMENT MANAGERS SEC. (PVT) LTD.

Computation of Liquid Capital
AS ON 31-12-2023

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				including with gains.	1.10
Receivables from customers	11,387,940	-	11,387,940	claims on account of entitlements against trading of securities in all markets including MtM gains.	
				Receivables from customers	
i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked					
account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value		-			
of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments.					
n. Lower of the Dalance Sheet value or value determined unrough adjustments.				n. Lower of net balance sneet value of value determined through adjustments.	
ii. Incase receivables are against margin trading, 5% of the net balance sheet value.	4			ii. Incase receivables are against margin trading, 5% of the net balance sheet value.	
ii. Net amount after deducting haircut		_	-	<u> </u>	
iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral					
upon entering into contract,	-	-	-		
1.17 iii. Net amount after deducting haricut				iii. Net amount after deducting haricut	1.17

N. Joshnor sheet value V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of vecurities purchased for customers and held in sub-accounts after applying VAR based haircus, (ii) cush deposted as collateral by the respective Customer and (iii) the market value of securities held as collateral and value of value of the value of securities held as collateral by value of value of value determined through adjustments V. Lower of net bolines sheet value or value determined through adjustments V. Lower of net bolines sheet value or value determined through adjustments V. Lower of net bolines sheet value or value determined through adjustments V. Lower of net bolines sheet value or value determined through adjustments V. Lower of net bolines sheet value or value determined through adjustments V. Lower of net bolines sheet value or value determined through adjustments V. Lower of net bolines sheet value or value determined through adjustments V. Lower of net bolines sheet value or value determined through adjustments V. Lower of net bolines sheet value or value determined through adjustments V. Lower of net bolines are accounts 1. Septiments V. Lower of net bolines are accounts V. Lower of net bolines and clearing house V. Lower of net bolines and clearing house V. Lower of net bolines and clearing house V. Lower of net bolines V. Lower of net bolines and clearing house V. Lower of net bolines V. Lower of ne	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.			
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Earlie State perpeteivor seconds 1,588,741 1,588	securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.	9,079,210	(8,966,236)	112,974
1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.	vi. 100% haircut in the case of amount receivable form related parties.	11,411,315	(11,411,315)	-
1.8 Bart balance usotemer accounts				
III. Cash in hand 10,000 154,488,485 11,963,159 101,765,58 104,785,58				1,568,741
1.13 Total Assets 1.94,488,845 1.194,185 10.1755,58 10.175			-	
Trade Payables			11,963,159	
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Extractory and regulatory dues		(55,598,794)	-	(55,598,794
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vii. Provision for bad debts viii. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements Non-Current Liabilities 1. Long-Term financing 2. Long-Term financing obtained from financial institution; Long term portion of financial obtained from a financial institution including amount due against finance lease 3. Other long-term financing 3. Long-Term financing 3. Long-Term financing 4. Long-Term financing 5. Lother long-term financing 6. Lother long-term financing 7. Long-Term financing 8. Long-Term financing 9. Long-Term financing 1. Long-Term financing		-	-	-
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(li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed	(i) Amount deposited by the horrower with NCCPI			
borrowed	3.2	-	-	-
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			

3.3	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting	-	-	-
	(b) in any other case: 12.5% of the net underwriting commitments			
	Negative equity of subsidiary	_	_	_
3.4	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary	-	-	-
	Foreign exchange agreements and foreign currency positions	-	-	-
3.5	5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency	-	-	-
3.6	Amount Payable under REPO			
	Repo adjustment	-	-	-
3.7	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received, less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.	-	-	-
	Concentrated proprietary positions			
3.8	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
	Opening Positions in futures and options	-	-	-
3.9	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/ pledged with securities exchange after applyiong VaR haircuts	13,389,690	-	13,389,690
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
	Short selll positions	-	-	-
3.10	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts	-	-	-
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	13,389,690	-	13,389,690
	Liquid Capital	84,919,729	11,963,159	32,201,468

Calculations Summary of Liquid Capital

- (i) Adjusted value of Assets (serial number 1.19)
- (ii) Less: Adjusted value of liabilities (serial number 2.5)

(iii) Less: Total ranking liabilities (series number 3.11)

Note: Commission may issue guidelines and clarifications in respect of the treatment of any component of Liquid Capital including any